

Brokerage Fees to Client

For questions contact CreativeOne Securities by email at operations@c1s.net or call 888.909.9399.

Accommodation/Transfer & Ship	\$75	Muni Debt Defeased	\$12
Automatic Manual or Outgoing Transfer	\$90	Mutual Fund Short-Term Redemption	\$50
Bond Redemption	\$10	Overnight Check Delivery*	\$15
Disbursement Error - Insufficient Funds	\$20	Overnight Saturday Check Delivery*	\$25
Dividend Reinvestment Fee	\$1	Payment Stop Error*	\$20
Domestic Safekeeping	\$15	Physical Redemption	\$12
DRS Transfer	\$10	Registration Transfer Fee	\$75
GNMA Bonds Transfers	\$150	Security Pre-Refunded	\$12
Inactive Account Fee - Mixed Positions	\$35	Stop Check Fee*	\$20
Inactive Account Fee - Mutual Fund only	\$20	U.S. Fed Fund Wire Fee	\$30
Insufficient Funds (Banking & Funds)	\$25	Void Check Error*	\$20
Legal Security Transfer/Restricted Security	\$150	Voluntary Reorganization	\$60
Mandatory Reorganization	\$12	Uncollected Fund Error	\$30
Margin Extension	\$25		

***For Non-Corestone checks/accounts**

Retirement & ESA Fee Schedule

Retirement Account Termination Fee	\$95	<i>plus annual custodian fee</i>
Traditional IRA, Roth IRA & SEP IRA - <i>Mutual fund only</i>	\$12	<i>charge on anniversary date of account</i>
Traditional IRA, Roth IRA, Education Savings - <i>Mixed positions</i>	\$50	<i>charge on anniversary date of account</i>
SARSEP, Prototype SEP, SIMPLE - <i>Mutual fund only</i>	\$12	<i>charge to account within 45 days of account opening</i>
Prototype SEPS, SARSEP, SIMPLE, Qualified Retirement Plans (QRP) and 403(b)(7) - <i>Mixed positions</i>	\$58.50	<i>charge to account within 45 days of account opening</i>
Transfer IRA with mixed positions into a Mutual Fund-only IRA	\$50	<i>charge to account within 45 days of account opening</i>

Limited Partnership, Private Placements, REITS & other special products

Subscription, Redemption & Re-Registration (each per position)	\$50
Annual Admin Fee per Registered Position	\$250
Annual Admin Fee per Unregistered Position	\$250
Document Review Fee to determine ability to Custody (per review, regardless of outcome)	\$300
IRS 990-T UBTI Tax Return (per return filed)	\$200